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GP2161 #5



PATENT APPLICATION  
Attorney Docket No.: 22866-013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Brian Taylor et al.  
SERIAL No.: 10/038,405  
FILING DATE: January 3, 2002  
FOR: METHOD AND APPARATUS FOR OPTIMIZING A SECURITY  
DATABASE FOR A SELF-SERVICE CHECKOUT SYSTEM

April 15, 2002  
Boston, Massachusetts

BOX IDS  
Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

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Sir:

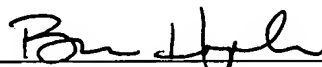
Transmitted herewith for filing in the present application are the following documents:

- ☒ Information Disclosure Statement
- ☒ Modified Form 1449
- ☒ Return Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 212/692-6803, New York, New York.

A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

  
\_\_\_\_\_  
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APR 15 2002  
PATENT AND TRADEMARK OFFICE  
JUL 15 2002  
EXAMINER: TBA  
ART UNIT: 2161

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Boston, Massachusetts

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INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed below and on the attached modified Form PTO-1449 (submitted in duplicate) in the above-identified application, copies of which are submitted herewith. The order of presentation of the references should not be construed as an indication of the importance of the references.

U.S. Patent Documents:

|           |           |           |
|-----------|-----------|-----------|
| 5,311,969 | 4,964,053 | 4,676,343 |
| 4,940,116 | 4,766,296 | 5,340,970 |
| 5,424,521 | 5,992,570 |           |

A copy of each of the above-identified documents is enclosed unless otherwise indicated on the attached Form PTO-1449 (modified). It is respectfully requested that the Examiner consider the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

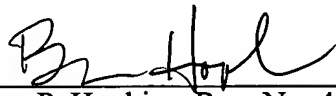
By submitting this Information Disclosure Statement, the Applicant makes no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is

APPLICANTS: Brian Taylor et al.  
U.S.S.N.: 10/038,405

considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.


Notwithstanding any statements by the Applicant, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 22866-001A.

Respectfully submitted,

  
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Dated: April 15, 2002



(use as many sheets as necessary)

|                               |                     |
|-------------------------------|---------------------|
| <b>Application Number</b>     | 10/038,405          |
| <b>Filing Date</b>            | January 3, 2002     |
| <b>First Named Inventor</b>   | Brian Taylor et al. |
| <b>Group Art Unit</b>         | 2161                |
| <b>Examiner Name</b>          | TBA                 |
| <b>Attorney Docket Number</b> | 22866-013           |

| Exam Initials | Cite No. | U.S. Patent Document No. | Issue Date | Name of Patentee(s) or Applicant(s) | Class | Sub Class | Filing Date If Appropriate |
|---------------|----------|--------------------------|------------|-------------------------------------|-------|-----------|----------------------------|
|               |          | 5,311,969                | 5/17/94    | Dickover et al.                     | 186   | 61        |                            |
|               |          | 4,964,053                | 10/16/90   | Humble                              | 364   | 466       |                            |
|               |          | 4,676,343                | 6/30/87    | Humble et al.                       | 186   | 61        |                            |
|               |          | 4,940,116                | 7/10/90    | O'Connor et al.                     | 186   | 61        |                            |
|               |          | 4,766,296                | 8/23/88    | Barth                               | 235   | 383       |                            |
|               |          | 5,340,970                | 8/23/94    | Wolfe, Jr. et al.                   | 235   | 383       |                            |
|               |          | 5,424,521                | 6/13/95    | Wolfe, Jr. et al.                   | 235   | 383       |                            |
|               |          | 5,992,570                | 11/30/99   | Walter et al.                       | 186   | 36        |                            |
|               |          |                          |            |                                     |       |           |                            |

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[illegible]

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

**Include copy of this form with next communication to applicant.**